

1 A preliminary modelling investigation into the safe
2 correction zone for high tibial osteotomy

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11 **Abstract**

12 *Purpose:* High tibial osteotomy (HTO) re-aligns the weight-bearing axis
13 (WBA) of the lower limb. The surgery reduces medial load (reducing pain
14 and slowing progression of cartilage damage) while avoiding overloading the
15 lateral compartment. The optimal correction has not been established. This
16 study investigated how different WBA re-alignments affected load distribu-
17 tion in the knee, to consider the optimal post-surgery re-alignment.

18 *Methods:* We collected motion analysis and 7T MRI data from 3 healthy sub-
19 jects, and combined this data to create sets of subject-specific finite element
20 models (total=45 models). Each set of models simulated a range of potential
21 post-HTO knee re-alignments. We shifted the WBA from its native align-
22 ment to between 40% and 80% medial-lateral tibial width (corresponding to
23 2.8°-3.1° varus and 8.5°-9.3° valgus), in 3% increments. We then compared
24 stress/pressure distributions in the models.

25 *Results/Discussion:* Correcting the WBA to 50% tibial width (0° varus-
26 valgus) approximately halved medial compartment stresses, with minimal
27 changes to lateral stress levels, but provided little margin for error in under-
28 correction. Correcting the WBA to a more commonly-used 62%-65% tibial
29 width (3.4°-4.6° valgus) further reduced medial stresses but introduced the
30 danger of damaging lateral compartment tissues. To balance optimal loading
31 environment with that of the historical risk of under-correction, we propose
32 a new target: WBA correction to 55% tibial width (1.7°-1.9° valgus), which
33 anatomically represented the apex of the lateral tibial spine.

34 *Conclusions:* Finite element models can successfully simulate a variety of
35 HTO re-alignments. Correcting the WBA to 55% tibial width (1.7°-1.9°
36 valgus) optimally distributes medial and lateral stresses/pressures.

37 *Keywords:* Osteoarthritis, High tibial osteotomy, Weight-bearing axis,
38 Finite element modelling, Knee alignment, Knee re-alignment

1. Introduction

39 Knee osteoarthritis (OA) is a chronic musculoskeletal disease of the
40 tibiofemoral joint, and one of the leading causes of global disability [1]. Ev-
41 idence suggests that the disease has a mechanical component. For example,
42 approximately 75% of the compressive load in the knee passes through the
43 medial compartment [2-3], and 90% of cases of unicompartmental knee OA
44 affect the medial tibiofemoral compartment [4]. Treatments which offload
45 the medial compartment are therefore of great interest.

46 Opening wedge high tibial osteotomy (HTO) is an established, effective
47 technique used to treat painful isolated medial compartment OA and limb
48 mal-alignment [5-7]. HTO is a particularly attractive option for young in-
49 dividuals, allowing patients to resume high activity levels and delaying the
50 need for arthroplasty [8-10]. The three-dimensional alteration of joint align-
51 ment during HTO transfers the position of the weight-bearing axis (WBA)
52 from the affected medial compartment towards or into the normal lateral
53 compartment of the knee (Fig 1). Biomechanically, this lateral shift de-
54 creases medial compartment stresses [11-12]. Historically, surgeons aimed to
55 re-align the knee to between 3° and 6° valgus [5,13-18]. Re-alignment has
56 also been described according to where the WBA crosses the tibial plateau:
57 as a percentage of tibial width, measured from the medial side. Fugisawa et
58 al recommend a target zone of 65%-70%, which has been refined recently to
59 62.5% (range 62%-66%) [19-20].

60 The outcome of HTO deteriorates with time, with around half remain-
61 ing effective after seven years. The reasons for the unsuccessful outcomes
62 are unclear but are thought to relate to inaccuracies in planning and surgi-
63 cal technique [5,9,14,21]. Despite the widespread use of the procedure, the
64 optimal re-alignment of the WBA of the lower limb remains unknown [22].
65 A ten to thirteen-year follow-up study found 68 of 93 HTOs were under-
66 corrected and led to continued medial compartment pain, while 5 of the 93
67 HTOs were overcorrected, resulting in the onset of lateral compartment pain

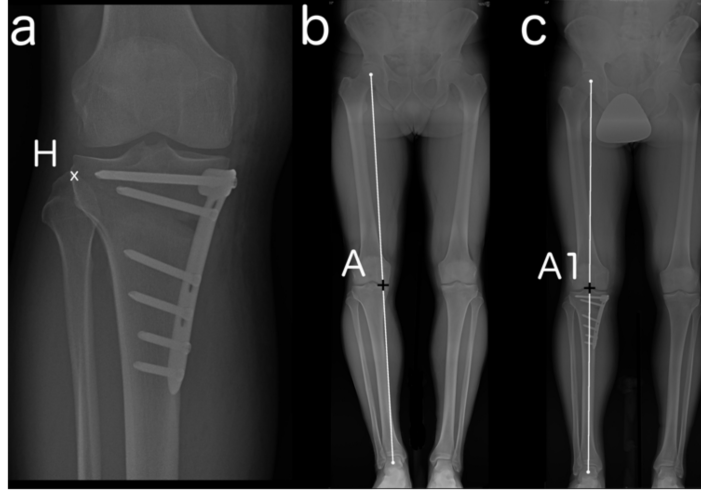


Figure 1: (a) Radiograph of a completed opening wedge HTO using a fixation plate. The osteotomy is made across the tibia and a wedge opened about the hinge point, H. (b, c) This moved the preoperative weight-bearing axis at the joint line more laterally (as demonstrated by the change from point 'A' to 'A1').

[23]. Under-correction of pre-operative deformity is an established predictor of failure, while moderate overcorrection appears to be desirable [23-24]. Recent reports suggest that improvements in instrumentation and navigated techniques will improve the accuracy of correction and inherent durability of HTO [25-28]. Understanding the relationship between re-alignment and the resulting stress redistributions will provide insights into future developments in surgical technique [29-30].

We describe the application of finite element (FE) analysis to investigate the relationship between a range of different WBA corrections and the associated stress and pressure distributions on the tibial plateau.

2. Material and methods

Ethical approval (09/H1102/88) for motion analysis was given by NRES Committee London. The MRI procedures were performed under an agreed technical development protocol (MSD/IDREC/2010/P17.2) approved by the Oxford University Clinical Trials and Research Governance office, in accordance with the International Electrotechnical Commission and United Kingdom Health Protection Agency guidelines.

2.1. Population information

Motion analysis and MRI data were collected from 3 healthy subjects: 2 female, 1 male; aged 25-32 years; BMI 20.6-21.9; double support standing knee alignments 4.3°-6.6° varus. For this study, the following inclusion criteria were used: healthy subjects, 18 to 35 years (to decrease likelihood of musculoskeletal disease), with visually normal walking pattern and ability to sign informed consent. The following exclusion criteria were used: musculoskeletal pain within the previous year, previous musculoskeletal operation, contraindications for MRI scanning (metal in body, claustrophobic), inability to walk un-aided, and neuromuscular condition that could alter walking patterns.

Informed consent was given and absence of MRI contra-indications was verified prior to data collection. Please note that this preliminary study included a relatively small number of subjects who were not representative of typical HTO patients. The goal of this preliminary study was to investigate the feasibility of the specific modelling approach before potentially extending the procedure to a larger, more clinical patient cohort.

2.2. Modelling technique

For ease of reading, only an overview of methods is presented here; more details about the method are available in Appendix A. Motion analysis data was collected first. Retro-reflective markers were placed on each subject in an adapted Helen Hayes marker set [31], with seven additional registration markers placed around the knee to register the motion analysis and MRI data. Subjects then completed level walking cycles while marker trajectories and ground reaction forces (GRF) were recorded. MRI data was recorded next. Non-weight-bearing high resolution MRI scans (7 Tesla with a 28-channel knee coil) were taken of the left knees in full extension. The seven additional registration markers were again present during MRI scanning.

The motion analysis and MRI data were then combined to create subject-specific FE models of each individual subject during level walking. Bony and soft tissue geometries were obtained from manual segmentation of the MRI scans. Material properties were obtained from literature (Table A.1). Loading and alignment of the models were calculated from motion analysis data and GRF data at the point of maximum load in the walking cycle (Fig 2) because we assumed loading at this point in the walking cycle might lead to cartilage damage and lesions. After creating subject-specific models of native

121 knee alignments, the models were modified to simulate a range of HTO re-
 122 alignments (Fig A.5). Simulations represented re-aligning the WBA to pass
 123 between 40% and 80% medio-lateral (M-L) tibial width, in 3% increments.
 124 This range corresponded to knee alignments of between 2.8°-3.1° varus and
 125 8.5°-9.3° valgus in our subjects. In total, 45 models were created (15 FE
 126 models per subject).

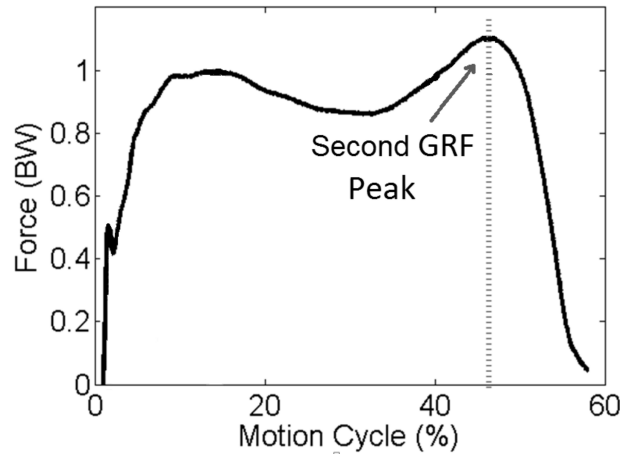


Figure 2: Maximum loads during level walking, a common activity, are experienced during the second peak of the ground reaction force (GRF) data. The FE models represent loading at this time point.

127 We chose to use FE models because the models gave the opportunity
 128 to non-invasively pre-plan an HTO surgery, and consider the effects of re-
 129 aligning the knee to a range of different positions. Making the models
 130 subject-specific let us investigate the optimal correction zone for an indi-
 131 vidual subject. This was important to us in case different subjects might
 132 have different optimal correction zones.

133 2.3. Outcomes measures

134 Von Mises stresses and contact pressures on the articulating tibial car-
 135 tilage and meniscal surfaces were extracted from each model and compared
 136 (more details in Appendix B).

137 2.4. Statistical analysis

138 This study did not include statistical tests due to low subject numbers
 139 ($n = 3$). When pooling results, the average and standard error of the mean

140 were calculated. The standard error was used as an estimate for the standard
141 deviation, again due to low subject numbers. Instead of using statistics, we
142 considered the relationship between stresses and pressures in the medial and
143 lateral compartments when determining an optimal correction zone.

144 2.5. Porcine validation study

145 Due to limitations on using human cadavers in our laboratory, we ini-
146 tially validated our model creation method using porcine specimens, before
147 comparing our results to a published human cadaveric study. A detailed ex-
148 planation of the porcine validation study is available in reference [32]. As
149 an overview, pressure film was inserted into two porcine knees while known
150 loads were applied to the knees. The pressures experienced within the knees
151 were recorded. The knees were then fixed in their positions and imaged using
152 an MRI scanner. Knee geometry from the MRI scans and load conditions
153 from the experimental tests were then combined to create subject-specific
154 FE models, and the FE models were solved for contact pressures. There
155 was good agreement between the pressures measured experimentally by the
156 pressure film and the pressures calculated by the FE models.

157 3. Results

158 The three subjects had native alignments with the WBA passing through
159 27%, 27%, and 21% M-L tibial width (7.1° , 6.5° , and 8.9° varus) at the
160 point of maximum GRF. The loads calculated for the range of different re-
161 alignments were: Subject 1) 756.8 N with 30.1 Nm varus to 13.5 Nm valgus
162 moments; Subject 2) 766.0 N with 31.9 Nm varus to 10.0 Nm valgus moments;
163 and Subject 3) 667.8 N with 35.1 Nm varus to 8.2 Nm valgus moments.

164 Areas of high stress and pressure moved from the medial to the lateral
165 compartment as the WBA was shifted laterally. Representative pressure dis-
166 tributions for different re-alignments in a single subject are shown in Fig 3.
167 In the native alignment, high pressures were found in the medial compart-
168 ment, particularly in the anterior regions and on the meniscus. As the WBA
169 shifted incrementally laterally, the femoral cartilage reduced contact with
170 the medial tibial cartilage, increased contact with the lateral meniscus, and
171 then increased contact with the lateral tibial cartilage. Similar transitions
172 of both contact areas and stress and pressure magnitudes were observed in
173 each subject.

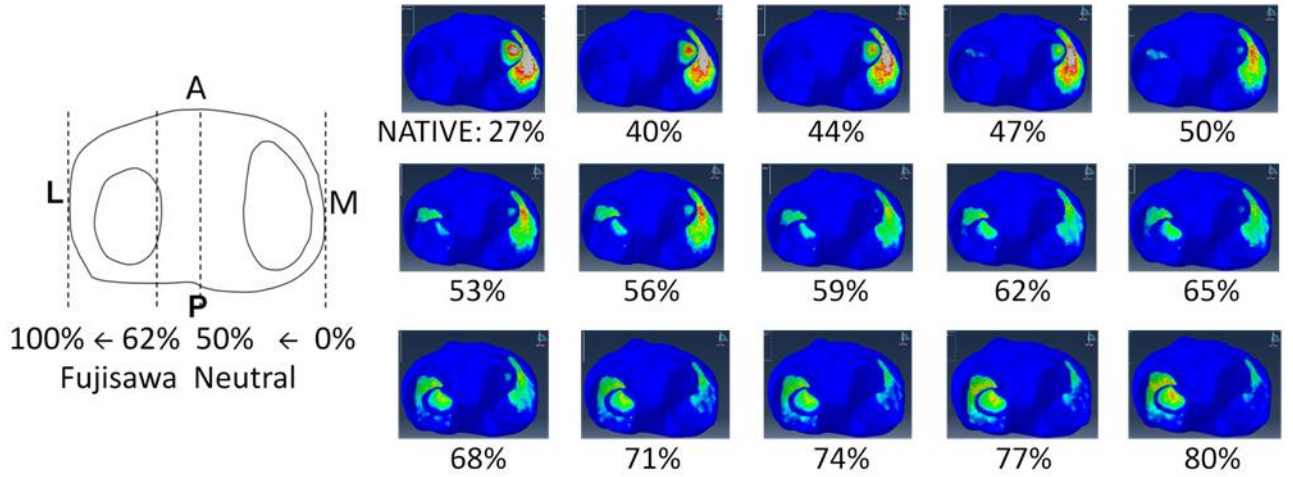


Figure 3: (left) Models simulated incrementally shifting the WBA from the medial to lateral compartment; (right) Example of contact pressures on articulating tibial cartilage and meniscal surfaces. High pressures moved from medial to lateral compartment as WBA shifted laterally (all figures use same color threshold [0-4 MPa]; higher pressures in red/grey).

Trends were also similar when all subjects were pooled (Fig 4). Shifting the WBA laterally decreased medial compartment pressures/stresses and increased lateral compartment pressures/stresses, on both the cartilage and meniscal surfaces (Figs B.6 and B.7). Medial compartment pressures remained relatively large if only small corrections were simulated (the WBA was re-aligned to less than 50% M-L tibial width and remained in varus alignment). In a neutral (50% M-L tibial width, 0° varus-valgus) alignment, medial pressures decreased to approximately 55% of their maximum values, with negligible increases in lateral compartment pressures. Re-aligning to the Fujisawa point (62%-65% M-L tibial width, 3.4°-4.6° valgus) further decreased medial pressures to approximately 40% of their maximum values, with noticeably increased lateral pressures. The intersection of decreasing medial and increasing lateral pressures occurred at approximately 60% re-alignment (2.6°-2.8° valgus).

4. Discussion

The aim of this study was to propose a safe correction zone for HTO re-alignments. By comparing medial and lateral compartment

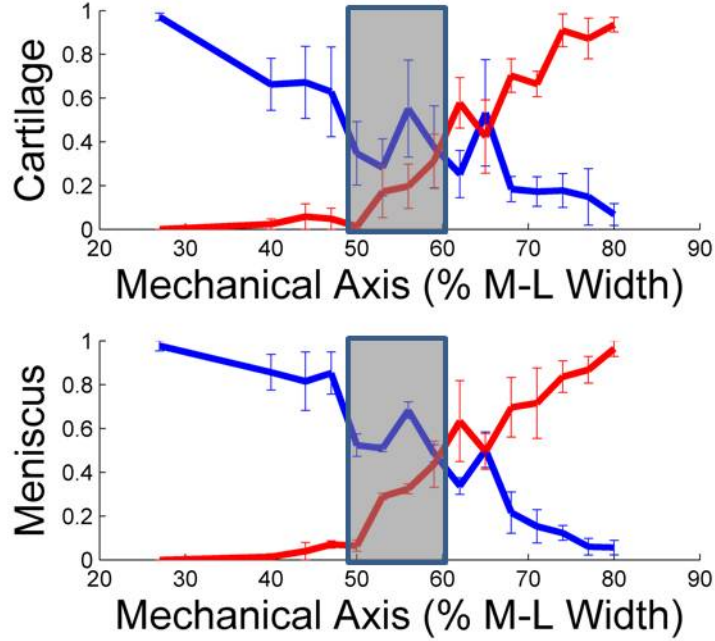


Figure 4: Averages and standard errors of normalized pressures on the (blue) medial and (red) lateral (top) cartilage and (bottom) meniscal surfaces. Proposed ‘safe’ zone shaded in grey. M-L = medial-lateral.

191 stresses/pressures, we found a repeatable safe zone for our three subjects:
 192 aiming for the WBA to cross at 55% tibial width (1.7°-1.9° valgus).

193 In the native alignment of the knee, the WBA crossed in the medial
 194 compartment (21% to 27% M-L tibial width, 6.5°-8.9° varus), resulting in
 195 stress/pressure only being experienced in the medial compartment. Our re-
 196 sults agree with the assumptions and results of other studies [33-36].

197 Re-aligning the WBA to cross the knee at less than 50% M-L tibial
 198 width (0° varus-valgus) had little effect on medial pressures. This range
 199 of re-alignments could be classified as ‘undercorrections’. Contact area and
 200 stress/pressure magnitudes remained similar to their pre-HTO states. There-
 201 fore, re-aligning the WBA to less than 50% M-L tibial width (remaining in
 202 varus) will have limited benefit for the patient (in agreement with reference
 203 [23]).

204 At the other extreme, re-aligning the WBA to cross beyond 65% M-L
 205 tibial width (4.3°-4.6° valgus) resulted in large lateral stresses and pressures.
 206 This range of re-alignments could be classified as ‘overcorrections’. In this

207 range of re-alignments, lateral tissues are now susceptible to damage, and
208 patients may develop lateral compartment pain (as described in reference
209 [23]).

210 Re-aligning the WBA to cross between 50% and 60% M-L tibial width
211 (0° varus-valgus to 2.6° - 2.8° valgus) could therefore be considered a mid-
212 dle ground, or a ‘safe zone’. Within this zone, medial compartment stress
213 and pressure decreased without substantial increases in the lateral compart-
214 ment. We selected an upper range of 60%, rather than 65%, because of
215 the potential for small errors during surgery. For example, if refined Fugi-
216 sawa re-alignments of 62.5% were attempted, small errors in over-correction
217 during surgery might result in actual post-HTO re-alignments beyond 65%,
218 which could increase lateral compartment pressures to unacceptable, dam-
219 aging levels [19-20]. An alternative and potentially safer re-alignment would
220 be to 55% M-L tibial width (1.7° - 1.9° valgus). With this re-alignment, the
221 surgeon can have a margin of error of 5% above and below and still be within
222 the safe zone. The 55% point corresponds to the apex of the lateral spine,
223 producing an easily identifiable anatomical target.

224 4.1. Assumptions and Limitations

225 This study calculated stress and pressure distributions for a variety of
226 simulated HTO re-alignments. This raises a question, however, about how
227 large the stress/pressure magnitudes need to be to cause damage initiation
228 and/or progression. The extent to which medial compartment pressures and
229 stresses must be reduced to prevent progression is unknown, as is the thresh-
230 old beyond which increasing lateral compartment pressures and stresses will
231 initiate damage. In this study, we assumed that if a patient requires an HTO,
232 their medial compartment stresses/pressures are already ‘too high’, and so
233 we have sought to lower those medial stresses/pressures. Simultaneously, we
234 sought to maintain relatively low lateral stresses/pressures to avoid damaging
235 the lateral tissues.

236 Another limitation is that our models used simple material behaviors to
237 examine macroscale patterns of stress and pressure. This study aimed to
238 test the feasibility of our method and to explore the effects different knee re-
239 alignments had on stress/pressure. Therefore, simple material behaviors were
240 deemed acceptable for our current study. The increased structural specificity
241 needed to explore damage in cartilage, menisci, and bone is outside the scope
242 of this study, yet warrants further examination.

Validation is an important aspect of any study involving FE models. We initially validated our model creation method using porcine specimens (details provided in Section 2.5), before comparing our results to a published human cadaveric study which focused on HTO and knee re-alignments [37]. Both our current study and the cadaveric study used FE models to simulate the effects of knee re-alignment on tibiofemoral pressure distribution. Model set-ups were similar, and both studies simulated knee re-alignments by varying the knee adduction-abduction moment. A major difference between our current study and the cadaveric study was that the cadaveric study’s models included 3D pre-tuned ligaments, whereas our study included axial ligaments with literature-derived material properties. Our current study further differs from the cadaveric study in that we used subject-specific loads measured during walking. The human cadaveric study applied loads of 374 N with 15 Nm varus to 15 Nm valgus moments. Therefore, our applied loads and maximum varus moments were approximately double those used in the human cadaveric study, while our maximum valgus moments ranged from nearly the same to half. Despite these differences in the two studies, trends in medial-lateral pressure distributions were similar. Through this indirect comparison, we conclude that our results appear reasonable and have been at least partially validated.

5. Conclusions

The philosophy behind HTO is to re-align the WBA to offload medial stresses (with the aim of reducing pain and slowing progression of cartilage damage) while avoiding overloading the lateral compartment. This study revealed that re-aligning the WBA to 50% M-L tibial width (0° varus-valgus) reduced medial compartment stresses by approximately half, with very small changes to lateral stress levels, yet provided little margin for error in under-correction. Durability of HTO beyond seven years has been linked historically to moderate over-correction [22,24], corresponding with our finding that a 50% re-alignment might not be sufficient. Furthermore, it appears that correcting the WBA to a more commonly-used 62%-65% M-L tibial width (3.4° - 4.6° valgus) further reduced medial stresses but introduced the potential to damage the tissues in the lateral compartment.

To balance optimal loading environment with that of the risk of under-correction, we propose a new target WBA correction to 55% M-L tibial width

(1.7°-1.9° valgus). This correction anatomically represents a point at the apex of the lateral tibial spine.

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429 Appendix A. Detailed Methods

430 *Appendix A.1. Data Collection and Processing*

431
432 Motion analysis began by recording each subject’s height, weight, lower
433 limb lengths, distances between anterior-superior iliac spine prominences,
434 and widths of the ankles and knees. Thirty-three retro-reflective markers
435 were placed on bony landmarks according to an adapted Helen Hayes marker
436 set [31]. Seven additional markers were placed around the knee, and were
437 later used to register the motion analysis and MRI data. Subjects then
438 completed five cycles, each, of level walking (self-selected speed) within the
439 capture volume of a 12-camera video-based motion analysis system. Marker
440 trajectory data (Vicon 612, Vicon Motion Systems Ltd., Oxford, UK) and
441 GRF data (OR6 platform, Advance Mechanical Technology Inc., Watertown,
442 MA, USA) were collected during these walking cycles.

443 Immediately following motion analysis, MRI scans were collected. The
444 seven additional registration markers were replaced by fluid-filled MRI regis-
445 tration markers (MM3002 Multi-Modality Fiducial Markers, IZI Medical,
446 Owings Mills, MD, USA). Separate non-weight-bearing MRI scans (cus-
447 tom 3D phase-cycled balanced steady-state free precession pulse sequence,
448 TR/TE 5.73 ms/2.47 ms, FA = 20°, FOV = 160 mm x 160 mm x 11.3
449 mm, resolution: 0.31 mm x 0.31 mm x 0.31 mm, 2 phase cycles with sum-
450 of-squares combination, Siemens 7 Tesla field strength whole body scanner,
451 Siemens Healthcare, Surry, UK) were taken of the left knees in full extension.
452 MRI data was segmented (ITK-SNAP 2.4.0, Cognitica Corporation and Na-
453 tional Library of Medicine, Bethesda, MD, USA) according to voxel greyscale
454 intensity values to obtain the 3D surfaces of the tibia, femur, patella, tib-
455 ial cartilage, femoral cartilage, patellar cartilage, and menisci. The seven
456 registration marker locations were also identified. The segmented structure
457 surfaces were checked for integrity and discontinuities (Blender 2.68a, Sticht-
458 ing Blender Foundation, Amsterdam, The Netherlands), and the resulting

459 structures were assembled to create the knee model geometry (SolidWorks
460 2014, Dassault Systemes SolidWorks Corp., Concord, MA, USA).

461 The MRI data and motion analysis data were originally collected in dif-
462 ferent coordinate systems. The two data sets were matched/registered (least-
463 squares error minimization [38]) using the seven registration markers included
464 during both types of data collection. The calculated loads (derived from the
465 motion analysis data) were then transformed into the MRI coordinate system
466 (Matlab R2014a, MathWorks, Natick, MA, USA).

467 *Appendix A.2. FE Model Creation*

468
469 Subject-specific geometries and loads were combined to create the FE
470 models (Abaqus 6.12, Dassault Systemes, Providence, RI, USA). First,
471 subject-specific FE models of the subjects in native knee alignments were
472 created. Models included bones, cartilage, menisci, ligaments, posterior cap-
473 sule, and quadriceps muscles.

474 The material properties used in the FE models were derived from the
475 literature (Table A.1). Bone is much stiffer than cartilage and menisci.
476 Therefore, we modeled bone as a rigid material [39] to speed up model so-
477 lution. Cartilage and menisci are both viscoelastic materials. The elapsed
478 loading times during walking are significantly shorter than these tissues' vis-
479 coelastic time constants (~ 1500 seconds [39]), however, so fluid would not
480 have moved within these tissues during these short elapsed loading times.
481 Therefore, we modeled cartilage and menisci as linear elastic isotropic and
482 linear elastic transversely isotropic materials, respectively [40-41]. Ligaments
483 were modelled as uniaxial connectors (force-extension properties from refer-
484 ences [42-44]), with connector ends placed at the origin and insertion sites
485 identified in the MRI scans.

486 Loads were derived from GRF data, collected at the foot-ground interface.
487 In equilibrium and in single stance (only a single foot contacting the ground,
488 as occurs at the second GRF peak), the loads experienced at the foot-ground
489 interface will be equal and opposite the loads experienced at the femur. Our
490 7T MRI scans could not image the entire lower limb at once, however, so
491 the foot-ground interface was not included in our FE models. Instead, we
492 applied an equivalent force system to the femur, specifically to the femoral
493 centre of mass.

494 The models' boundary and contact conditions reflected the conditions in
495 the physiological knee. The tibia was held fixed (while loads were applied

STRUCTURE	PARAMETERS	REF
Bone	Rigid	[39]
Cartilage	$E = 15 \text{ MPa}$, $\nu = 0.45$	[41]
Menisci: Circumferential	$E = 120 \text{ MPa}$, $\nu = 0.3$	[41]
Axial	$E = 20 \text{ MPa}$, $\nu = 0.2$	[41]
Ligaments: ACL, PCL, MCL, LCL	Force-displacement curves	[44]
Patellofemoral	Force-displacement curves	[42]
Patellar tendon	Force-displacement curves	[43]
Quadriceps muscle	Force-displacement curve for patellar tendon	[43]
Posterior capsule	Force-displacement curve for LCL	[44]

Table A.1: FE model material properties

to the femur). As in the physiological knee, the meniscal horns were tied to the tibia. Bone-cartilage interactions were tied to represent the physiological calcified cartilage region. Soft tissue interactions (cartilage-cartilage and cartilage-menisci) were modeled as frictionless and allowed articulating surfaces to separate after coming into contact.

After creating the subject-specific models of the native WBA alignments, additional models were created to represent re-aligning the WBA to different positions. The same geometry was used in all models. The applied loads were changed to reflect different re-alignments. HTO re-aligns the knee and shifts the WBA from the medial compartment towards the tibial spine/lateral compartment. In equivalent force systems, shifting a force (F) to a location off its line of action (Δd) creates a moment ($M = \Delta d \times F$) (Fig A.5). Therefore, different WBA re-alignments were simulated by applying different adduction-abduction moments to the models (applied loads were held constant since HTO does not significantly affect body weight). These additional FE models were created with moments representing moving the WBA from 40% to 80% M-L tibial width, in 3% increments. The equivalent varus-valgus angle of each knee was also calculated.

Appendix B. Analysis of Model Outputs

Von Mises stresses and contact pressures on the articulating tibial cartilage and meniscal surfaces were extracted from each model. First, the effects of re-aligning the WBA to different locations was considered for each individual subject. Then the average patterns for the three subjects were considered.

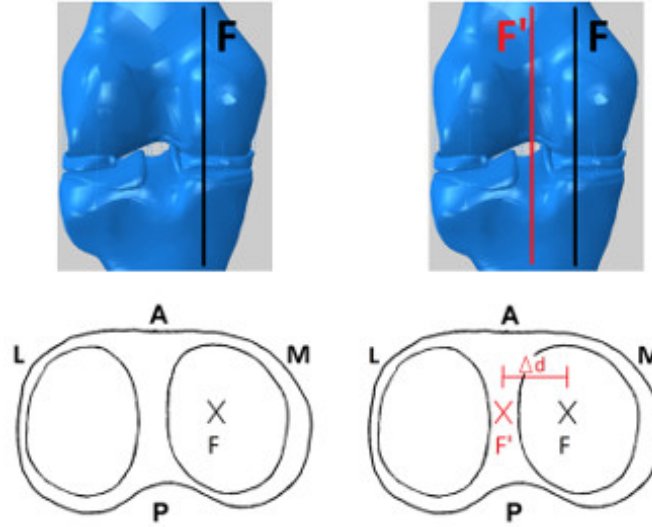


Figure A.5: Models were first created with the knee in its (left) native alignment (WBA force F shown in black). (right) Simulated HTO models were then created by shifting the WBA from the native alignment (black, F) towards the lateral compartment (red, F'). Shifting the WBA creates an adduction-abduction moment.

When comparing models for a single subject, the distribution patterns of stress and pressure were first visually inspected. Locations of highest stress and pressure were noted. Trends of how stresses and pressures shifted between the medial and lateral compartment as WBA shifted laterally were also noted.

Magnitudes of stresses and pressures were then quantitatively compared. Stress and pressure magnitudes were recorded in four regions of interest: cartilage-cartilage and cartilage-meniscus contact areas, in both the medial and lateral compartment. Regions were defined in the models with the largest contact area: native alignment models for medial regions and 80% medial-lateral tibial width models for lateral regions. The average stress and average pressure within each of these four regions was calculated for each model, and then plotted against knee re-alignment to investigate how medial and lateral results were affected by knee re-alignment.

Next, individual subject results were pooled to investigate overall stress/pressure re-alignment trends. Each subject had different maximum stress and pressure values, so the stresses and pressures were first normalized according to each subject's maximum results. Maximum medial

stresses/pressures occurred in the native alignment models; maximum lateral stresses/pressures occurred in the 80% medial-lateral re-alignment models. After normalizing to each subject's maximum value, the normalized results of the three subjects were averaged. Line graphs (Figs 4 and B.6) and bar plots (Fig B.7) were created to visualize the normalized and relative stresses and pressures in the four regions of interest, and to investigate how stress/pressure shifted between the medial and lateral compartments as the WBA shifted laterally.

Appendix B.1. Additional Results

Stresses and pressures on the cartilage and menisci surfaces, as well as relative stresses and pressures on the articulating surfaces are shown in Figures B.6 and B.7.

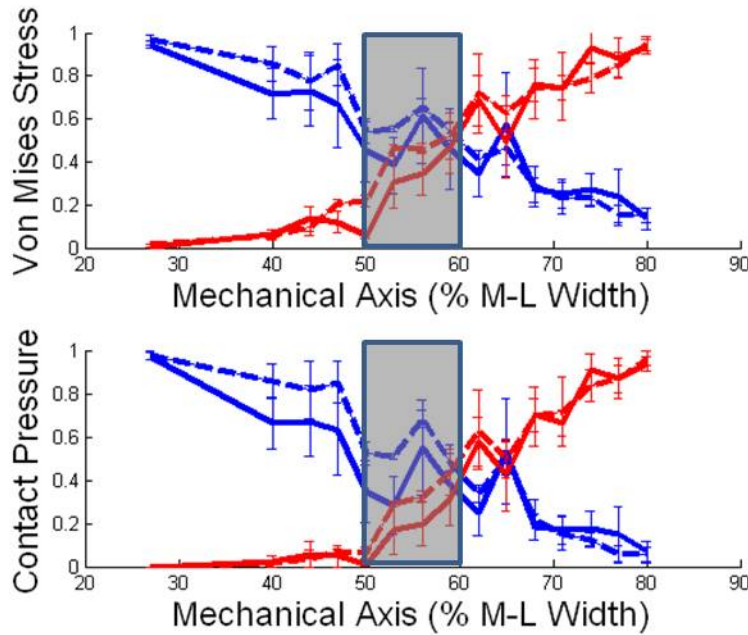


Figure B.6: Averages and standard errors of normalized von Mises stress and contact pressure on the articulating (solid lines) cartilage and (dashed lines) meniscal surfaces of the (blue) medial and (red) lateral compartments. Proposed 'safe' zone shaded in grey. M-L = medial-lateral.

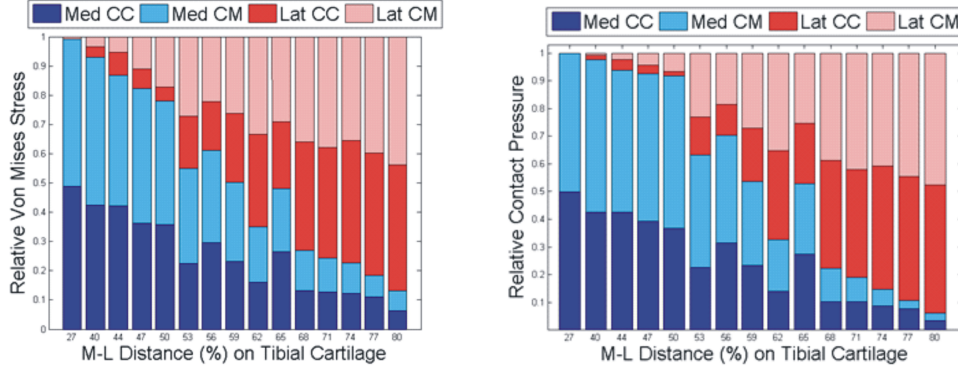


Figure B.7: Relative (top) stresses and (bottom) pressures on the (blue) medial and (red) lateral tibial cartilage and menisci. M-L = medial-lateral.

Appendix C. Figure legends

Figure 1: (a) Radiograph of a completed opening wedge HTO using a fixation plate. The osteotomy is made across the tibia and a wedge opened about the hinge point, H. (b, c) This moved the preoperative weight-bearing axis at the joint line more laterally (as demonstrated by the change from point ‘A’ to ‘A1’).

Figure 2: Maximum loads during level walking, a common activity, are experienced during the second peak of the ground reaction force (GRF) data. The FE models represent loading at this time point.

Figure 3: (left) Models simulated incrementally shifting the WBA from the medial to lateral compartment; (right) Example of contact pressures on articulating tibial cartilage and meniscal surfaces. High pressures moved from medial to lateral compartment as WBA shifted laterally (all figures use same color threshold [0-4 MPa]; higher pressures in red/grey).

Figure 4: Averages and standard errors of normalized pressures on the (blue) medial and (red) lateral (top) cartilage and (bottom) meniscal surfaces. Proposed ‘safe’ zone shaded in grey. M-L = medial-lateral.

Figure A.5: Models were first created with the knee in its (left) native alignment (WBA force F shown in black). (right) Simulated HTO models were then created by shifting the WBA from the native alignment (black, F) towards the lateral compartment (red, F’). Shifting the WBA creates an adduction-abduction moment.

Figure B.6: Averages and standard errors of normalized von Mises stress

573 and contact pressure on the articulating (solid lines) cartilage and (dashed
574 lines) meniscal surfaces of the (blue) medial and (red) lateral compartments.
575 Proposed ‘safe’ zone shaded in grey. M-L = medial-lateral.
576 Figure B.7: Relative (top) stresses and (bottom) pressures on the (blue)
577 medial and (red) lateral tibial cartilage and menisci. M-L = medial-lateral.